Integrated Screening, Assessment, and Brief Intervention for Co-Occurring Disorders Participant Guide

Sponsored by:

Los Angeles County Department of Mental Health
UCLA Integrated Substance Abuse Programs
Pacific Southwest Addiction Technology Transfer Center

In collaboration with:

Matrix Institute on Addictions
Pacific Clinics
Prototypes, Centers for Innovation in Health, Mental Health and Social Services

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Table of Contents

Overview of Co-Occurring Disorders	5
Addiction: A Brain Disease	14
Effecting Change through the Use of Motivational Interviewing	30
Screening and Assessing Children and Youth for COD	45
Brief Intervention	65

Integrated Screening,	Assessment, and Brief Inter	vention

Integrated Screening, Assessment, and Brief Intervention for COD













Introduction What we will cover

- Overview of the evolving field of Co-Occurring Disorders
- What is happening in the brain?
- Using motivational interviewing with this population—why and how
- Conducting effective screening and assessment for COD
- Conducting a brief intervention for clients or caregivers with COD

Co-Occurring Disorders

Co-occurring disorders

 Refers to co-occurring substance use (abuse or dependence) and mental disorders

In other words...

Clients with co-occurring disorders have:

 one or more disorders relating to the use of alcohol and/or other drugs of abuse <u>and</u> one or more mental disorders

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Co-Occurring Disorders

Diagnosis of COD occurs when:

- at least one disorder of each type can be established independent of the other <u>and</u>
- is not simply a cluster of symptoms resulting from the one disorder

Clinicians knowledge of both mental health and substance abuse is essential, but challenging to achieve

COD in Your Agency:

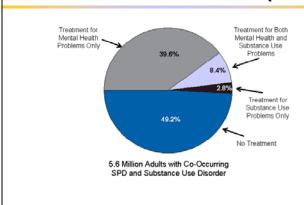
- Do these definitions describe clients in your practice/program? (Estimate percentage or describe prevalence)
- 2. How has serving clients with COD **affected** your practice/program?
- 3. What challenges do clients with COD present to your clinical knowledge and skills?

Prevalence of COD

- In 2006, 5.6 million adults (2.5% of persons aged 18+) met the criteria for both serious psychological distress (SPD) and substance dependence and abuse (i.e., substance use disorder, SUD)
- In 2006, 15.8 million adults (7.2% of persons aged 18+) had at least one major depressive episode (MDE) in the past year
 - Adults with MDE in the past year were more likely than those without MDE to have used an illicit drug in the past year (27.7 vs. 12.9 percent)

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Past Year Treatment of Adults with Both SPD and SUD (2006)



Prevalence and Other Data

Data now show:

- COD are **common** in general adult population.
- Increased prevalence of people with COD and programs for people with COD
- People with COD are more likely to be hospitalized and the rate may be increasing
- Rates of mental disorders increase as the number of substance use disorders increase

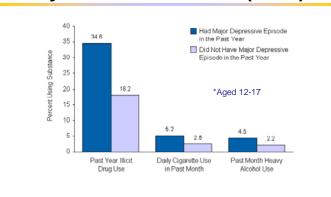
Adolescents with Substance Use Disorders...

- Are largely undiagnosed
- Are distributed across diverse health and social service systems
- Are more likely to be involved in the juvenile justice system;
- Have higher rates of child abuse (neglect, physical and sexual abuse;
- Have high co-morbidity with psychiatric conditions.

Facts About Adolescent COD

- In 2006, 3.2 million youths (12.8% of the population aged 12 – 17) reported at least one major depressive episode (MDE) in their lifetime
- 2.0 million youths (7.9 percent) had MDE during the past year
- Among 12 17 year olds who had past year MDE, 35% had used illicit drugs during the same period

Substance Use among Youths*, by MDE in Past Year (2006)



COD and Juvenile Justice

- Nearly two-thirds of incarcerated youth with substance use disorders have at least one other mental health disorder
- As many as 50% of substance abusing juvenile offenders have ADHD
- About 30% of incarcerated youth with substance use disorders have a mood or anxiety disorder
- Those exposed to high levels of traumatic violence might experience symptoms of posttraumatic stress as well as increased rates of substance abuse

Page	8 4

Trauma among Adolescents Presenting for Treatment for SUD

- 40-90% have been victimized
- 20-25% report in past 90 days, concerns about reoccurrence
- Associated with higher rates of
 - Substance use
 - HIV-risk behaviors
 - Co-occurring disorders

So, How Do We Treat COD?

TIP 42

Guiding Principles and Recommendations

Six Guiding Principles (SAMHSA, TIP 42)

- Employ a recovery perspective
- Adopt a multi-problem viewpoint
- Develop a phased approach to treatment
- Address specific real-life problems early in treatment
- Plan for cognitive and functional impairments
- Use support systems to maintain and extend treatment effectiveness

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Delivery of Services (SAMHSA, TIP 42)

- Provide access
- Complete a full assessment
- Provide appropriate level of care
- Achieve integrated treatment
 - Treatment Planning and Review
 - Psychopharmacology
- Provide comprehensive services
- Ensure continuity of care

Vision of Fully Integrated Treatment

- One program that provides treatment for both disorders
- Mental and substance use disorders are treated by the same clinicians
- The clinicians are trained in psychopathology, assessment, and treatment strategies for both disorders

Vision of Fully Integrated Treatment (continued)

- The focus is on preventing anxiety rather than breaking through denial
- Emphasis is placed on trust, understanding, and learning
- Treatment is characterized by a slow pace and a long-term perspective
- Providers offer motivational counseling

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Vision of Fully Integrated Treatment (continued)

- 12-Step groups are available to those who choose to participate and can benefit from participation
- Pharmacotherapies are indicated according to clients' psychiatric and other medical needs

Vision of Fully Integrated Treatment (continued)

- Supportive clinicians are readily available
- Sensitivity to culture, gender, and sexual orientation
- Trauma sensitivity

Quick Exercise— **Levels of Program Capacity** Integrated Mental Health Mental Health Beginning Intermediate Advanced Beginning Addiction Addiction Addiction Only Treatment COD COD COD Enhanced COD Capable Only Integrated Capable Enhanced Treatment Where on the graph would you place your agency? Why? We'll look at this again at the end of the day.

Basic Competencies	
Needed to Treat Persons With COD	
	+/OK/-
Perform a basic screening to determine whether COD might exist and be able	
to refer the client for a formal diagnostic assessment by someone trained to do	
this.	
Form a preliminary impression of the nature of the disorder a client may have,	
which can be verified by some-one formally trained and licensed in mental	
health diagnosis.	
Conduct a preliminary screening of whether a client poses an immediate	
danger to self or others and coordinate any subsequent assessment with	
appropriate staff and/or consultants.	
Be able to engage the client in such a way as to enhance and facilitate future	
interaction.	
De-escalate the emotional state of a client who is agitated, anxious, angry, or	
in another vulnerable emotional state.	
Manage a crisis involving a client with COD, including a threat of suicide or	
harm to others. This may involve seeking out assistance by others trained to	
handle certain aspects of such crises; for example, processing commitment	
papers and related matters.	
Refer a client to the appropriate mental health or substance abuse treatment	
facility and follow up to ensure the client receives needed care.	
Coordinate care with a mental health counselor serving the same client to	
ensure that the interaction of the client's disorders is well understood and that	
treatment plans are coordinated	

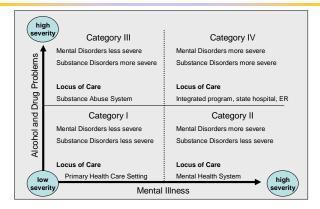
Stop for Discussion

Examples of Ways to Avoid Burnout	
	+/OK/-
Work within a team structure rather than in isolation.	
Build in opportunities to discuss feelings and issues with other staff who	
handle similar cases.	
Develop and use a healthy support network.	
Maintain the caseload at a manageable size.	
Incorporate time to rest and relax.	
Separate personal and professional time.	
Receive supervision that is supportive and provides guidance and technical	
knowledge.	

Which 2of the are the most difficult for you?

Determine Quadrant and Locus of Responsibility

TIP Exercise— Cases & Quadrants of Care



With your partner:

- Select one case (Tony, Jessica or Kevin) below.
- Change or add information that would result in assignment of that case to a different quadrant.

(1 minute)

Case 1: Tony

Tony is a 7 year old boy who is being raised by a single mom and maternal grandmother. Tony has met all of the usual developmental milestones up to age 4. When Tony turned 5 he began to act out aggressively toward two of his siblings; Mary 2 years older, Tom 1 year younger, and other age mates. His mom thought he may be ADHD as did his 2nd grade teacher. His grandmother indicates that he is "just a sensitive boy."

Tony's mom is alcohol dependent and an older brother, age 16, smokes marijuana and drinks alcohol weekly with friends in the home.

What are the first 3 questions you would ask Tony regarding his experience with substance use?

If Tony indicates that his older brother has given him some alcohol and lets him "take a puff" on a joint occasionally, what are your 2 follow-up questions?

Case 2: Jessica

Jessica is a 10 year old who lives with her parents and younger brother. When Jessica was 9, her teacher reported that she often expressed sexually inappropriate behavior toward classmates and had poor impulse control.

Jessica's father, while currently living in the home, has been in and out of jail for the past 10 years on drug-related crimes and domestic violence charges. Her father is currently unemployed and her mother works full-time as a bus driver.

Case 3: Kevin

Kevin is a 15 year old living with his Dad, older brother – age 19, and his Dad's girlfriend. Kevin maintained good grades until starting high school last year. After repeated truancies, he was transferred to the alternative high school where he has continued to let his grades slip, and has been caught with both alcohol and marijuana in his locker. Kevin's Dad and his girlfriend are in recovery and don't allow drugs or alcohol in the home. His older brother regularly uses marijuana and has also experimented with heavier substances like psychedelic mushrooms, LSD and ecstasy.

Addiction: A Brain Disease

Putting Drug Use into Context with other Mental Disorders

A Work In Progress

- At four weeks gestation neurons are forming at the rate of 500,000 per minute
- At birth, the brain weighs approximately one pound
- In an area the size of a grain of rice there are ten thousand nerve cells and each one has one to ten thousand connections

Human Development

	by Erik Erikso	on
Task	Outcome	Negative
1st yr Trust/mistrust	Hope: Trust in Environment	Fear of Future Suspicion
2nd yr Autonomy/shame	Will: choice	Loss of Control
3-5 yr Initiative/guilt	Purpose/Initiative	Fear of punishment
6-puberty Industry/	Competence	Inadequate
Inferiority Adolescence Identity/ role confusion	Sense of self	Confusion
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When Tasks are Unfinished and Incomplete in Childhood.....

- Caregivers can only give to others what they themselves possess.
- No Trust------Fear
- No Autonomy-----Loss of Control
- No Initiative------Fear of Punishment
- Inferiority-----Inadequacy
- Identity-----Confusion

Onset of Mental Health Disorders

- Oppositional Defiance 5y/o
- Attention Deficit Disorder-ADHD 1.3-2.4
- Anxiety Disorders 3.8
- Conduct Disorder 5.6
- Depression 10.1
- Schizophrenia-effective disorders
 - » Teen years and mid-thirties

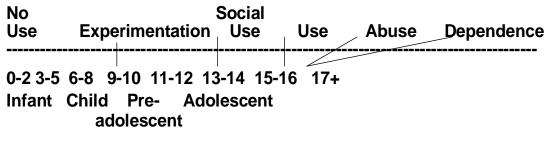
When Tasks are Unfinished and Incomplete in Childhood.....

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Progression of Use

FAS---Substance use in-uterus



Mental Health Disorder's onset-----

Use: Isolation with substance-loss of relationships

Abuse: DMS IV

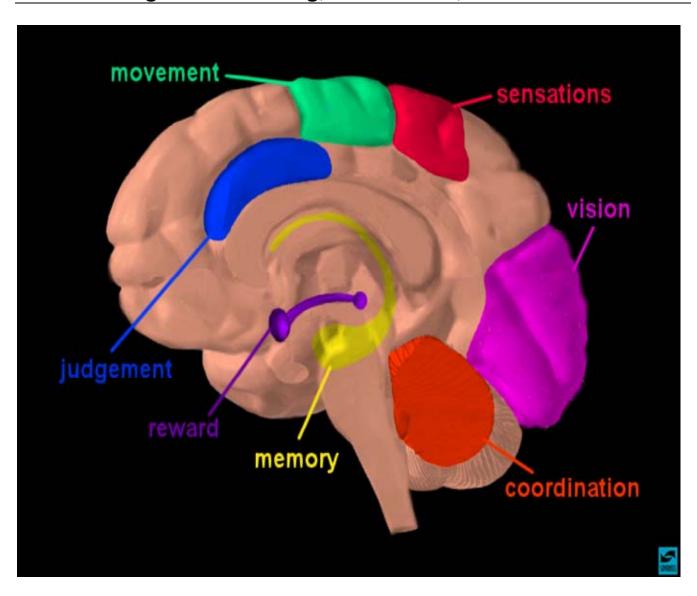
Dependence: DSM IV

Similarities of Two of These Diseases

Alcoholism/Addiction

Major Mental Disorders

Both are diseases
Heredity and environment play a role
They are characterized by: chronicity and denial
Affects the whole family
Progression of the disease without treatment
Shameful and stigmatized
Leads to lack of control of behavior and emotions
Disease is often seen as a moral issue
Shameful and stigmatized
Feelings of guilt and failure
Facing the disease can lead to depression and despair
Biological, mental, disease with social and spiritual impact



Brain Structure The Hemispheres and Lobes

on

 $Temporal \hbox{---Language, memory, learning} \\$

Occipital---- Vision and visual memory

Changes

- In the second decade of life, the brain is fully formed, but then it undergoes a last spurt of change.
- The prefrontal cortex is still very much a work in progress. <u>This region which governs rationality</u>, <u>stays underdeveloped throughout the</u> <u>adolescent years</u>

P	re-	Fr	or	ntal	Co	rtex
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This area of the brain is responsible for:

- Decisions for future plans
- Judgment
- Morality
- Reason
- · Self discipline

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Addiction: A Brain Disease	
So, what do drugs do to all of this?	

Group Activity Let's talk about drugs and what they do.

How is it used and what does it feel like?

 What are the benefits and consequences of use?

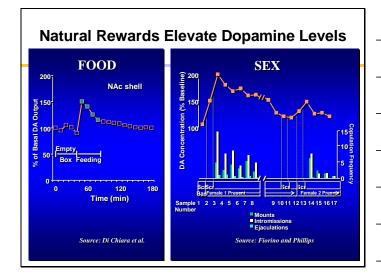
What does withdrawal look like?



Initially, A Person Takes A Drug Hoping to Change their Mood, Perception, or Emotional State

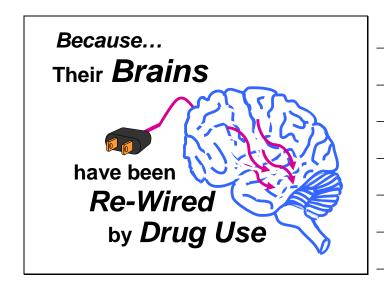
Translation---

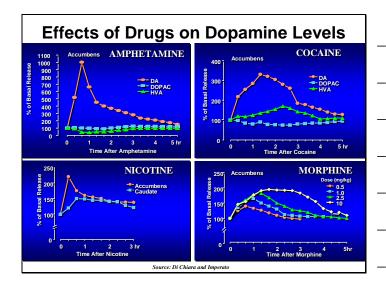
...Hoping to Change their Brain

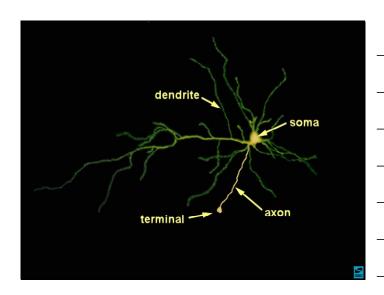


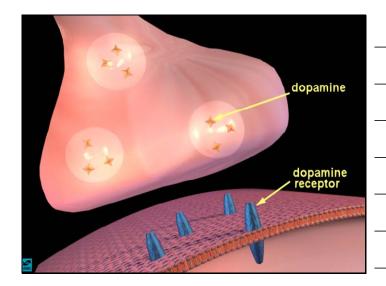
But Then...

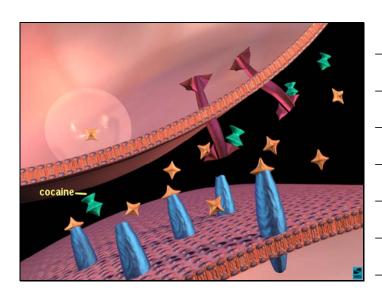
After A Person Uses Drugs For A While, Why Can't They Just Stop?

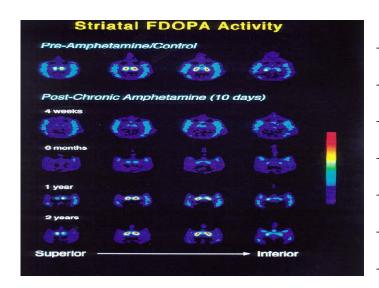


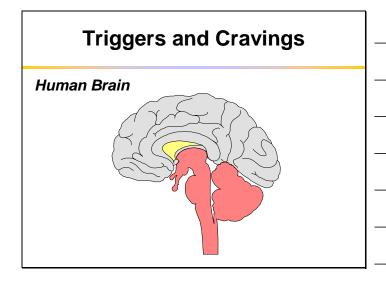


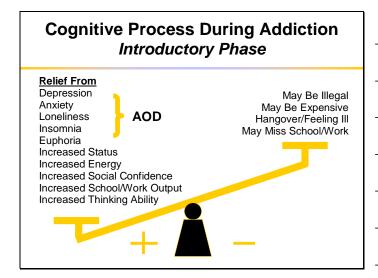




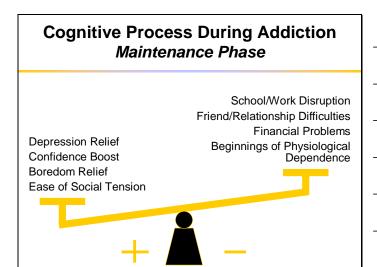




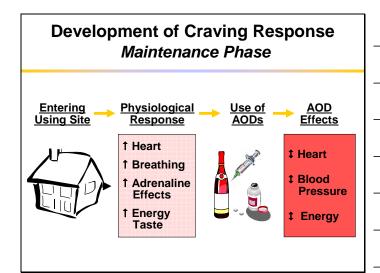


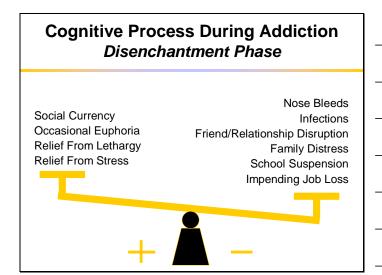


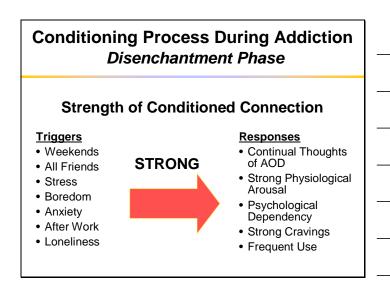
Conditioning Process During Addiction Introductory Phase Strength of Conditioned Connection Triggers • Parties • Special Occasions Mild Responses • Pleasant Thoughts about AOD • No Physiological Response • Infrequent Use



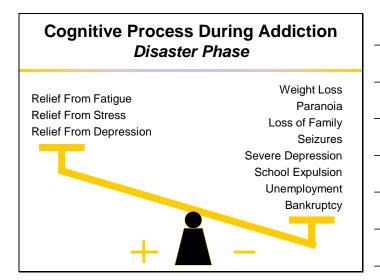
Conditioning Process During Addiction Maintenance Phase **Strength of Conditioned Connection Triggers** Responses • Thoughts of AOD Parties • Eager Anticipation Friday Nights **Moderate** of AOD Use Friends · Mild Physiological Concerts Arousal Alcohol Cravings Occur as · "Good Times" Use Approaches Sexual Situations Occasional Use

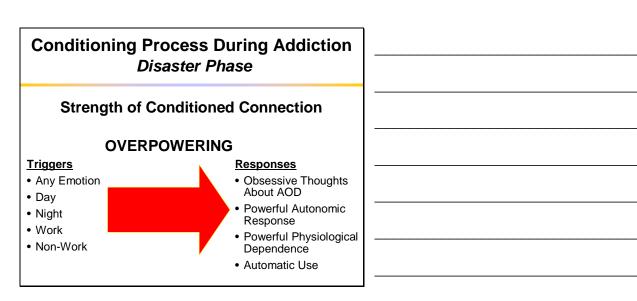


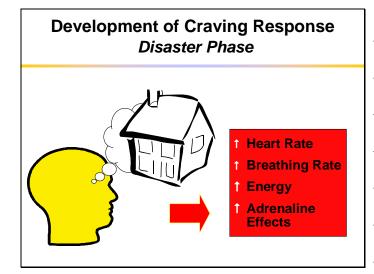




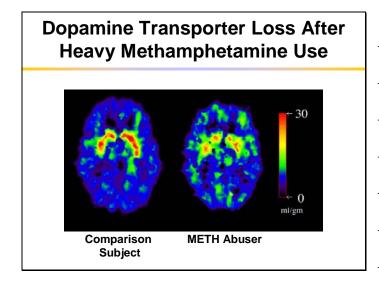
Development of Craving Response Disenchantment Phase | Theart Rate | The Breathing Rate |

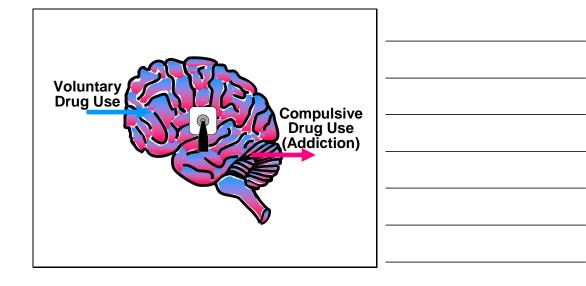






Prolonged Drug Use Changes the Brain In Fundamental and Long-Lasting Ways





We Don't Know the Exact Switch

BUT...

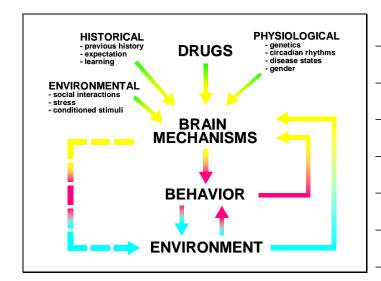
We Do Know that the Brain Circuitry Involved in Addiction Has Similarities to that of Other Motivational Systems



Addiction is, Fundamentally, A <u>Brain Disease</u>

...BUT

It's Not Just A Brain Disease





Addiction Is A Brain Disease Expressed As Compulsive Behavior

Both Developing and Recovering From It Depend on Behavior and Social Context

That's Why Addicts Can't Just Quit

That's Why Treatment Is Essential!

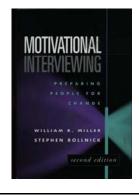
That's Why It is Critical to Help with Motivation for Change!

Effecting Change through the Use of Motivational Interviewing

How can MI be helpful for us in working with our clients/patients?

- The successful MI therapist is able to inspire people to want to change
- Use of MI can help engage and retain clients in treatment
- Using MI can help increase participation and involvement in treatment (thereby improving outcomes)

What is MI?



Motivational
Interviewing,
2nd Edition.
Miller and Rollnick

What Causes a Person to be Judged "Motivated"

- The person agrees with us
- Is willing to comply with our recommendations and treatment prescriptions
- · States desire for help
- Shows distress, acknowledges helplessness
- · Has a successful outcome

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Deti	nition	I OT IN	/IOti	vation

The probability that a person will enter into, continue, and comply with change-directed behavior

Motivational Interviewing

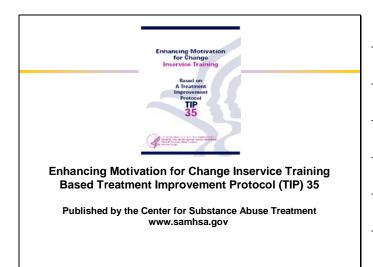
Elicit behavior change Respect autonomy

A patient-centered directive method for enhancing intrinsic motivation to change by exploring and resolving ambivalence.

Tolerate patient ambivalence

Explore consequences

Co-Occurring L	visorders	ıraınıng
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Where do I start?

- What you <u>do</u> depends on where the client <u>is</u> in the process of changing
- The first step is to be able to identify where the client is coming from

Stages of Change Prochaska & DiClemente Precontemplation Preparation Maintenance Action

Precontemplation Stage

- Definition
 Not yet considering change or is unwilling or unable to change
- Primary task
 Raising Awareness

Some Ways to Raise Awareness in the Precontemplation Stage

- Offer factual information
- Explore the meaning of events that brought the person in and the results of previous efforts
- Explore pros and cons of targeted behaviors

Contemplation Stage

- In this stage the client sees the possibility of change but is ambivalent and uncertain about beginning the process
- Primary task
 Resolving ambivalence and helping the client
 choose to make the change

Co-Occurring	Disorders	Training

Possible Ways to Help the Client in the Contemplation Stage

- Talk about the person's sense of selfefficacy and expectations regarding what the change will entail
- Summarize self-motivational statements
- · Continue exploration of pros and cons

Determination Stage

- In this stage the client is committed to changing but is still considering exactly what to do and how to do
- Primary task
 Help client identify appropriate change strategies

Possible Ways to Help the Client in the Determination Stage

- Offer a menu of options for change or treatment
- Help client identify pros and cons of various treatment or change options
- Identify and lower barriers to change
- Help person enlist social support
- Encourage person to publicly announce plans to change

Page	34
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Action Stage

- In this stage the client is taking steps toward change but hasn't stabilized in the process
- Primary task
 Help implement the change strategies and learn to limit or eliminate potential relapses

Possible Ways to Help the Client in the Action Stage

- Support a realistic view of change through small steps
- Help person identify high-risk situations and develop appropriate coping strategies
- Assist person in finding new reinforcers of positive change
- Help access family and social support

Maintenance Stage

Definition

A stage in which the client has **achieved the goals** and is working to maintain them

Primary task
 Client needs to develop new skills for maintaining recovery

Co-Occurring	Disorders	ı raınıng
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Possible Ways to Help the Client in the Maintenance Stage • Help client identify and try alternative **behaviors** (drug-free sources of pleasure) Maintain supportive contact • Encourage person to develop escape plan • Work to set new short and long term goals Recurrence Definition Client has experienced a recurrence of the symptoms Primary task Must cope with the consequences and determine what to do next **How to Help the Client Who Has Experienced a Recurrence** Explore with person the meaning and reality of recurrence as a learning opportunity • Explain Stages of Change and encourage him/her to stay in the process Help person find alternative coping strategies

Maintain supportive contact

How Can I Help Clients Move through These Stages of Change?

- Use the microskills
 - Open-ended questions
 - Affirmations
 - Reflections
 - Summaries

to elicit and reinforce self-motivational statements (Change Talk)

Building Motivation OARS

(the microskills)

- Open-ended questioning
- Affirming
- Reflective listening
- Summarizing





Open-Ended Questions

An open-ended question is one that requires more than a yes or no response

- Solicits information in a neutral way
- Helps person elaborate own view of the problem and brainstorm possible solutions
- Helps therapist avoid prejudgments
- · Keeps communication moving forward
- · Allows client to do most of the talking

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Affirmations

- · Focused on achievements of individual
- · Intended to:
 - Support person's persistence
 - Encourage continued efforts
 - Assist person in seeing positives
 - Support individual's proven strengths

Reflective Listening Key-Concepts



- Listen to both what the person <u>says</u> and to what the person <u>means</u>
- · Check out assumptions
- Create an environment of empathy (nonjudgmental)
- · You do not have to agree
- Be aware of intonation (statement, not question)

Summarizing



- Summaries capture both sides of the ambivalence (You say that ______ but you also mentioned that ______.)
- They demonstrate the clinician has been listening carefully.
- Summaries also prompt clarification and further elaboration from the person.
- They prepare clients to move forward.

Pag	e	38

What's the Best Way to Facilitate This Change?



- Constructive behavior change comes from connecting with something valued, cherished and important
- Intrinsic motivation for change comes out of an accepting, empowering, safe atmosphere where the painful present can be challenged

Use the Microskills of MI to:

Express Empathy

- Acceptance facilitates change
- Skillful reflective listening is fundamental
- · Ambivalence is normal



Use the Microskills of MI to:

Develop Discrepancy

- Discrepancy between present behaviors and important goals or values motivates change
- Awareness of consequences is important
- Goal is to have the PERSON present reasons for change

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Use the Microskills of MI to:

Avoid Argumentation

- Resistance is signal to change strategies
- Labeling is unnecessary
- Shift perceptions
- Peoples' attitudes are shaped by their words, not yours

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Support Self-Efficacy

- Belief that change is possible is important motivator
- Person is responsible for choosing and carrying out actions to change
- There is hope in the range of alternative approaches available

People Will Not Change Unless They Are:



READY (The <u>time</u> is right)



(The change is **important** to them)



(They feel **confident** they can make the change)

You Can Help Increase:

- Importance (Willing) by Developing Discrepancy
- Confidence (Able) by Supporting Self Efficacy

But what about Readiness?



Readiness

- The client will change if he/she believes it's possible (able), thinks it's important (willing) and thinks the change has a high priority (ready).
- Low priority does not = pathology; rather, low readiness

Providing Feedback

- Elicit (ask for permission)
- · Give feedback or advice
- Elicit again (the person's view of how the advice will work for him/her)



How Do I Know When I've Succeeded?



One measure of success is the amount of **Change Talk** coming from the client.

Change Talk Is Happening When the	À
Client Makes Statements That Indicat	e:

Recognition of a problem

A concern about the problem

Statements indicating an intention to change

Expressions of optimism about change

Signs of Readiness to Change

- Less resistance
- Fewer questions about the problems
- More questions about change
- Self-motivational statements
- Resolve
- Looking ahead
- Experimenting with change



Page	42
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Drumming	g for Change Talk	
Listen to the follo	owing statements	
• If the statement – Drum roll	is <u>change talk</u>	
• If it is <u>NOT</u> chan – Remain silent	ge talk	
Change Ta	alk – Commitment	
The precursor to	commitment is DARN	
Desire	Commitment Talk is:	
Ability	Intention	
− R eason	Obligation	
− N eed	orAgreements to change	
	nitment Talk – nging the Pearl	
Listen to the following	owing statements	
• If the statement - Drum roll	is DARN change talk:	
If it is commitme Massage the Pe		
• If it is NEITHER : - Remain Silent		

How Do I Finish? • Develop a Change Plan with the client by: - Offering a menu of change options - Developing a behavior contract - Lowering barriers to action - Enlisting social support - Educating the client about treatment You Are Using MI If You: • Talk less than your client does • On average, reflect twice for each question • Reflect with complex reflections more than half the time Ask mostly open ended questions Avoid getting ahead of your client's stage of readiness (warning, confronting, giving unwelcome advice, taking "good" side of the argument)

Screening and Assessing Children and Youth for COD	
What can be determined through the screening and assessment process?	
 The interplay between the substance use and the mental health problem The degree to which each disorder interferes functioning and is situational or social The frequency, intensity and duration of use and associated diagnosis (i.e., substance abuse or dependence) THESE DETERMINATIONS TAKE TIME	
Substance Use that Interferes with Childhood Development	
 There are clear criterion to diagnose the disorders ordinarily found in childhood. None require a substance use rule out Frequency, intensity and duration and age of onset of symptoms are linked with specific disorders There is no clear frequency, intensity and 	

duration of child substance use that interferes with childhood development according to the DSM IVR
 The Criterion for substance abuse and dependence were developed with an adult, not child, bias

Collision of Symptomology

- Differential Diagnosis is essential for accurate assessment. Is the presenting problem affected by a medical condition or substance?
 - Is it depression/dysthymic disorder or alcohol, marijuana, inhalants use?
 - Is it ADHD or is it methamphetamine/crack/ cocaine use?
 - Is it oppositional defiant/conduct disorder or substance use?
 - Is it a disruptive behavior disorder or methamphetamine use?

'The Secret in the Pocket'



- Please write down one personal experience, that you have determined to keep to yourself. This can be an experience or character flaw that you are NOT proud of. YOUR SECRET.
- A word or phrase that will help identify this experience to you and you alone.

YOU WILL NOT BE ASKED TO SHARE THIS OR SHOW THIS TO ANYONE.

Appreciating the 'difficult to tell....'

Before we begin to ask questions, we need to:

- understand and appreciate the DIFFICULT process of sharing what is considered personal and private
- understand the processes whereby individuals communicate 'family secrets' and information to strangers

We need to review what we see as healthy, intrapersonal **non-disclosure** versus unhealthy, self destructive **secret-keeping**

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Tasks of Mental Health Clinician:

- Our responsibility is to provide the best, most comprehensive assessment and treatment for clients
- This requires a complete and thorough assessment
- Balance timeframes between completing necessary forms and County paperwork and providing Evidence Based Practice
- Families who struggle with children and/or youth need an ally who has a complete understanding of the problem
- Services must move at the pace set by the youth and their family

When do I bring up 'the topic'

- Meet with the family and review the limits of confidentiality
- Ensure that sufficient rapport has been established with the child and family
- Embed questions abut substance use into the overall assessment
- Completing paperwork and broaching specific topics may be two different events
- Using the Substance Use Screeners ensures that the topic will be raised during intake

Integrating the Assessment and Screening Tools with Intake Documentation

- Using the DMH Intake Assessment Form we obtain information regarding:
 - Presenting Problem—Symptoms
 - History of substance use
 - Family History-prenatal exposure
 - Current or past use and treatment history

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In a non-judgmental atmosphere people are more apt to be truthful.
Building rapport is extremely important.
How does the family, youth present?
Have you done enough today?
The COJAC* Screening Tool
Simple tool to determine if a problem might exist in each of the key areas: Mental Health Addiction Trauma
* Co-Occurring Joint Action Policy Council (COJAC) Workgroup
See COJAC form on Next Page

Co-Occurring Disorders Screening Instrument

Step 1 – Ask the Primary Screening Questions 3 Questions for Mental Health: ☐ Have you ever been worried about how you are thinking, feeling, or acting? ☐ Has anyone ever expressed concerns about how you were thinking, feeling, or acting? ☐ Have you ever harmed yourself or thought about harming yourself? **3 Questions for Alcohol & Drug Use** (Health Canada Best Practice Report): ☐ Have you ever had any problem related to your use of alcohol or other drugs? ☐ Has a relative, friend, doctor, or other health worker been concerned about your drinking or other drug use or suggested cutting down? ☐ Have you ever said to another person, "No, I don't have (an alcohol or a drug) problem," when around the same time you questioned yourself and felt, maybe I do have a problem? 3 Questions for Trauma/Domestic Violence: ☐ Have you ever been in a relationship where your partner has pushed or slapped you? ☐ Before you were 13, was there any time when you were punched, kicked, choked, or received a more serious physical punishment from a parent or other adult? ☐ Before you were 13, did anyone ever touch you in a sexual way or make you touch them when you did not want to?

Step 2 – If participant answers two questions Yes (1 mental health and 1 substance abuse or 1 substance abuse and 1 trauma), complete:

☐ Complete appropriate DMH Screening forms for COD

Adapted from Collaborative Care Project, Canada and Co-Morbidity Screen, Boston Consortium.

LADMH Tools to assist in the screening and assessment process

- There are two DMH screening tools:
 - Parent/Caregiver Questionnaire (MH 552): given to all parents and caregivers to complete.
 - The Child/adolescent Substance Use Self Assessment (MH 554): self report by youth 11 and above and by discretion of the therapist, verbally administered to youth under 11 or to those who cannot read.

THESE ASSESSMENT INSTRUMENTS MUST BE GIVEN AS PART OF THE INTAKE PROCESS AND AN INITIAL 'X' and 'U' CODE IS NEEDED FOR THE FACE SHEET

- Screening for substance use risk factors
- · Asks directly about substance use
- Given to all parents and caregivers to complete

The Child/Adolescent Substance Use Self Assessment (MH 554)

 Any 'Yes' answer will lead to the need for a further assessment.

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MH552 Revised 3/03

PARENT/CAREGIVER

QUESTIONNAIRE
Child/Adolescent Drug and Alcohol Use (To be completed by parent/caregiver)

Date Completed:						
Parent/Caregiver Name: Relationship to Child:						
Please include tobacco as a drug.						
1.	Have you ever spoken to your child about t	he use of alcohol or drugs?		YES		NO
2.	Have you ever suspected that your child ma	ay use alcohol or drugs?		YES		NO
3.	Would you recognize the symptoms of drug	g/alcohol use?		YES		NO
4.	Have you ever caught your child using or u drugs (cigarettes included)?	nder the influence of alcohol or		YES		NO
5.	Has your child ever left school to use alcoh	ol or drugs?		YES		NO
6.	Does your child hang out with a group of fi	riends who use drugs or alcohol?		YES		NO
7.	Has your child ever stayed out all night wit	hout calling?		YES		NO
8.	Does your child ever miss classes or days o	f school without permission?		YES		NO
9.	Does your child make frequent references of	or jokes about alcohol or drugs?		YES		NO
10.	10. Does your child wear t-shirts or other clothes that have logos with references ☐ YES ☐ NO to alcohol or drug use?			NO		
11.	11. Has your child's school performance declined recently?		YES		NO	
12.	12. Has your child's weight or eating habits changed recently?			YES		NO
13.	13. Has your child become more irritable, depressed, or withdrawn recently?			YES		NO
14.	14. What substances has your child tried?					
	15. What drugs/alcohol does your child use most often? (List and describe frequency of use.)					
16. Have you ever wondered if your child might have problems with alcohol and/or other drugs? WHY or WHY NOT?						
and F	onfidential information is provided to you in accord with State federal laws and regulations including but not limited to able Welfare and Institutions Code, Civil Code and HIPAA	Name:		MIS #:		
Privac disclo	y Standards. Duplication of this information for further sure is prohibited without the prior written authorization of the	Agency:		Prov.#:		
	patient/authorized representative to who it pertains unless otherwise permitted by law. Los Angeles County - Department of Mental Health			lth		

PARENT/CAREGIVER QUESTIONNAIRE

MH554 Revised 3/03

CHILD/ADOLESCENT SUBSTANCE USE SELF EVALUATION

Administer to all children 11 years or older. Clinician's judgment as to whether or not it is clinically appropriate to administer when child is less than 11 years old. May be administered verbally by clinician or completed in writing by the child.

Date Completed:	S	ex: F M		
Please mark YES or NO to the following questions:				
Do you smoke cigarettes?		□ YES	□ NO	
2. a. Do you currently use alcohol, marijuan	a, inhalants or other drugs?	□ YES		
b. Have you ever used alcohol, marijuana	, inhalants or other drugs?	□ YES		
3. Do you use alcohol or drugs on weekends	with friends?	□ YES	□ NO	
4. Do you use alcohol or drugs when you are	alone?	□ YES	□ NO	
5. Have your parents ever caught you using a	lcohol or drugs?	□ YES		
6. Have you ever left school to use alcohol or	drugs?	□ YES	□ NO	
7. Have you ever been under the influence of at school or work?	alcohol or drugs while	□ YES	□ NO	
8. Have you ever woken up and not remembered any of or only a portion of the previous night's events after using drugs or alcohol?		□ YES	□ NO	
9. Have you ever driven a car or motorcycle while under the influence of drugs or alcohol?		□ YES	□ NO	
10. Have you ever had more alcohol or drugs than planned?		□ YES		
11. Have you ever felt the need to cut down or stop completely your use of drugs or alcohol?		□ YES	□ NO	
12. Have friends ever suggested you might have	ve an alcohol or drug problem?	□ YES	\square NO	
13. Has anyone ever sought professional help drugs or alcohol?	for you due to your use of	□ YES	□ NO	
14. Have you ever lost a boyfriend / girlfriend	/ close friend because of	□ YES		
your alcohol or drug use?				
15. Are you using more alcohol or drugs than you used to?			□ NO	
This confidential information is provided to you in accord with State and Federal laws and regulations including but not limited to Name: MIS#:			# :	
applicable Welfare and Institutions Code, Civil Code and HIPAA Privacy Standards. Duplication of this information for further disclosure is prohibited without the prior written authorization of the	Agency:	Prov	#:	
patient/authorized representative to who it pertains unless otherwise permitted by law.	rtment of Me	ntal Health		

CHILD/ADOLESCENT SUBSTANCE USE SELF EVALUATION

When the Screen Indicates the Need for Assessment

- The assessment does not need to be completed until:
 - -the clinician has met with the family
 - -rapport has been built
 - the clinician has reasonable assurance that accurate information will be obtained

Prior to an Assessment, how do I raise the questions of substance use with children?

- Discussion of school and social functioning often provide openings to INTRODUCE the issue of substance use.
- Many children and adolescents will provide more information if interviewed alone.
- Begin by asking the child/adolescent general, open ended questions, questions regarding attitudes towards drug and alcohol use at school, among peers, and within the family.
- Then proceed to more specific questions about the individual's use.

Considerations when working with Young Children

- Do not assume that a child has no experience or knowledge of substances based on age.
- For school aged children under 11 years of age, initial questions might focus on their knowledge base or exposure and then proceed to questions regarding their own use.

Exercise 1: Interviewing a 7 year old	
 Look at Case 1 (Tony) below. Please form pairs with one person playing the part of the child and the other, the therapist. The therapist is to interview the child to assess if there is any substance use and to what extent the use interferes with functioning. 	
 Case 1: Tony	
Tony is a 7 year old boy who is being raised Tony has met all of the usual developmental he began to act out aggressively toward two year younger, and other age mates. His mom grade teacher. His grandmother indicates that Tony's mom is alcohol dependent and an old drinks alcohol weekly with friends in the hor What are the first 3 questions you would ask	milestones up to age 4. When Tony turned 5 of his siblings; Mary 2 years older, Tom 1 thought he may be ADHD as did his 2 nd the is "just a sensitive boy." er brother, age 16, smokes marijuana and ne.
substance use?	
If Tony indicates that his older brother has gipuff' on a joint occasionally, what are your 2	

Integrated Screening, As	ssessment, and Brief Intervent
Exercise 2: Interviewing a 10 year old	
 Look at Case 2 (Jessica) below. Please form pairs with one person playing the part of the child and the other, the therapist. The therapist is to interview the child (using form 554 and your best MI skills) to assess if there is any substance use and to what extent the use interferes with functioning. Be sure to introduce the topic before diving into the form 	
Case 2: Jessica	
Jessica is a 10 year old who lives with her pa was 9, her teacher reported that she often exp toward classmates and had poor impulse con	pressed sexually inappropriate behavior
Jessica's father, while currently living in the 10 years on drug-related crimes and domestic unemployed and her mother works full-time	c violence charges. Her father is currently

Sample questions for discussion with child under 11 years old:

- 1. Has anyone ever talked to you about alcohol and drugs? Who?
- 2. What did they say?
- 3. What do you think about it about what they said?
- 4. Do kids at school ever talk about smoking, drinking, drugs, using inhalants (may have to describe inhalant use specifically)?
- 5. Have you ever seen kids at school or older kids smoking, drinking, or using drugs?

Sample questions for discussion with child under 11 years old:

- 6. What do you think about kids who smoke? Drink? Use drugs?
- 7. Does anyone in your family use alcohol or drugs? Brothers or sisters?
- 8. Has anyone ever let you try cigarettes or alcohol?
- 9. What have you tried?
- 10. Has anyone ever told you not to talk about it to keep it a secret – that you used or that they used?

Introducing the Topic of Substance Use to Pre-Adolescents and Adolescents (age 11 +)

- Initial questions may be focused on their exposure and experiences at school and with peers
- Wording and pacing of the questions should be tailored to fit the responses of the child/adolescent and not read verbatim or in a rote manner

Introducing the Topic of Substance Use to Pre-Adolescents and Adolescents (age 11 +)

- After rapport has been established, the screening can proceed on to more specific questions regarding personal use history
- Meet with the adolescent or child alone, with caretaker(s) alone, as well as with the family together
- Proceed slowly, matter-of-factly, and do not focus too quickly on substance use
 - Match the **adolescent's pace**; do not ask too many questions

	/hat are Positive Indications at ake or on the Screening Tools
use, ques If the subs If sc of ch	a child/adolescent indicates any substance e, or answers 'yes' to any screening estions he parent/caregiver indicates child's bstance use school or legal system indicates knowledge child/adolescent substance use A Substance Use Assessment is Indicated and flust be Completed in a 'Timely Manner'
	ample questions for discussion ith young adolescent (11+ y.o.)
(do as o Wh abo Alco	ell me about drinking and drugs at your school to not ask about the drug "problem" at the school s doing so may convey a judgmental attitude) hat do most teenagers at your school think bout smoking cigarettes? Marijuana? Inhalants? Icohol? Other Drugs?
If a course, quest substitute of chemical Mutaus San Wit Tell (do as course)	a child/adolescent indicates any substance e, or answers 'yes' to any screening estions the parent/caregiver indicates child's bstance use school or legal system indicates knowledge child/adolescent substance use A Substance Use Assessment is Indicated and flust be Completed in a 'Timely Manner' ample questions for discussion ith young adolescent (11+ y.o.) tell me about drinking and drugs at your school do not ask about the drug "problem" at the school is doing so may convey a judgmental attitude) What do most teenagers at your school think

Sample questions for discussion with young adolescent (11+ y.o.)

- 4. How easy is it to buy or get marijuana and other drugs at school or in your neighborhood?
- 5. How easy is it to get alcohol and cigarettes?
- 6. How common is drug/alcohol use at parties or rayes?
- 7. At home, what are your parents' attitudes regarding smoking, drinking, and drug use?

Exercise 3:				
Interviewing a Young Adolescent				

- Look at Case 3 (Kevin) below.
- Please form pairs with one person playing the part of the child and the other, the therapist.
- The therapist is to interview the child (using form 554 and your best MI skills) to assess if there is any substance use and to what extent the use interferes with functioning.
- Be sure to introduce the topic before diving into the form

<u>Case</u>	<u>3:</u>	Ke	<u>vin</u>

Kevin is a 15 year old living with his Dad, older brother (age 19), and his Dad's girlfriend.

Kevin maintained good grades until starting high school last year. After repeated truancies, he was transferred to the alternative high school where he has continued to let his grades slip, and has been caught with both alcohol and marijuana in his locker.

Kevin's Dad and his girlfriend are in recovery and don't allow drugs or alcohol in the home. His older brother regularly uses marijuana and has also experimented with heavier substances like psychedelic mushrooms, LSD and ecstasy.

Getting Information in a Timely Manner

- Most adolescents will acknowledge some level of knowledge of substance use at their school or among their peers
- If an adolescent denies any knowledge of substance use at school or among peers in response to general inquiries, the clinician should recognize that such denials typically signal a lack of comfort or trust in the assessment process
 - Delay further inquiry until greater rapport has been established and return to topic at a later session

When Screening leads to Assessment

- If substance use is reported proceed to the formal assessment of substance abuse.
- If client denies documented use—STOP...Gain a greater understanding of the lack of comfort or trust and return to screening and assessment when rapport is better.
- Pacing is critical as too many questions may result in the adolescent withdrawing.
- Conduct the assessment in a matter-of-fact, non-judgmental manner.

Child/Adolescent Substance Use Assessment (MH553)

- Remember that maintaining rapport is critical to getting good information
- Use your best MI interviewing Skills to assess the following

Completing the **Assessment Form** Context of Use When With whom How obtained Perceived Benefits of Use Negative Consequences of Use School/work Legal Family/peer relationships Attempts To Control Use Personal Limits Treatment (specify) Response to Treatment Clinician may not be able to assess this at time of Intake. That's OK. The Supplemental COD **Assessment Checklist** Use this checklist: After substance use has been acknowledged and the child/youth is comfortable... After a connection between the presenting problem and substance use has been agreed upon To develop treatment goals and objectives

MH553 Revised 3/03

CHILD/ADOLESCENT SUBSTANCE USE ASSESSMENT

Clinician to verbally administer to child when drug use is reported by child, parent or other. AGE AT PAST USE #OF #OF #OF NEVER 1 YR AGO TIMES IN TIMES IN TIMES IN DAILY AMOUNT USED SUBSTANCE FIRST PAST MO. OR MORE PAST YR. PAST WK. PER OCCASION Caffeine, coffee Nicotine, cigarettes, chewing tobacco Alcohol, beer, wine Marijuana Cocaine or crack Inhalants (glue, paint, etc.) Amphetamines (crack, crystal, meth, ice, etc.) Ecstasy, MDMA, GHB, others Hallucinogens (LSD, mushrooms, peyote, etc) Tranquilizers (Xanax, Valium, Ativan, etc.) Over the counter medications Opiates (i.e., heroin/pain meds) Prescription Other Context of Use When With whom How obtained Perceived Benefits of Use Negative Consequences of Use School/work Legal Family/peer relationships Attempts To Control Use Personal Limits Treatment (specify) Response to Treatment Describe interaction of substance use with mental health DSM diagnostic condition. ☐ Yes ☐ No ☐ Unknown Was mental health DSM condition present prior to regular drug/alcohol use? This confidential information is provided to you in accord with State MIS#: Name: and Federal laws and regulations including but not limited to applicable Welfare and Institutions Code, Civil Code and HIPAA Privacy Standards. Duplication of this information for further Agency: Prov.#: disclosure is prohibited without the prior written authorization of the patient/authorized representative to who it pertains unless otherwise permitted by law. Los Angeles County - Department of Mental Health

CHILD/ADOLESCENT SUBSTANCE USE ASSESSMENT

Assessing Risk Factors

Factors affecting risk for involvement with substance use

Assessing Family Risk Factors

- Parental substance abuse
- Favorable parent beliefs and attitudes regarding use
- Lack of closeness or bonding with the parents
- Lack of parental involvement
- Lack of appropriate supervision and limits

Assessing Peer Risk Factors

- Friends who use
- Positive peer attitudes regarding substance use
- Peer involvement in delinquent or antisocial behavior
- An orientation toward peer values over parent values

Assessing Individual Risk Factors

- Favorable attitudes towards the use of substances
- Emotional/behavioral problems, especially early disruptive or risk-taking behaviors
- Early age of onset of substance use
- Gender: Males more likely to abuse substances than females
- Genetics: Family history of substance abuse
- History of sexual/physical abuse
- Trauma/displacement

Assessing Socio-Cultural Risk Factors

- Prevailing norms and laws
- Extreme economic deprivation
- Deterioration of the neighborhood
- High crime rate/ "culture of violence"
- · Degree of acculturation

Assessing School Risk Factors

- Poor school performance and school failure
- Lack of connectedness to school
- Truancy
- Placement in a special education class
- Dropping out of school

Exercise 4: Roberto

- Roberto answered yes to 4 Screening questions: Numbers 1, 4, 5, and 14
- His mother answered yes to all questions on the Parent Questionnaire

With your exercise partner please indicate the first 2 things you are going to do

Really Brief Intervention Basics



Rationa	le for	Rrief	Interv	ention/
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- When working with young children, parental involvement is critical to the treatment
- Parents who have COD require help to
 - Identify the nature of the problems that they face
 - Participate in interventions to help themselves and their family (collateral interventions)
 - Accept referral for more intensive treatment of these problems

Brief Intervention

- What are the ingredients of successful brief interventions?
 - Include feedback of personal risk and advice to change
 - Offer a **menu** of change options
 - Place the **responsibility** to change on the patient
 - Based on a Motivational Interviewing, or counseling style, and typically incorporate the Stages of Change Model

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Stages of Change (let's review) Precontemplation Preparation Maintenance Action

Stages of Change

- Recognizing the need to change and understanding how to change doesn't usually happen all at once. It takes time and patience.
- People go through a series of "stages" as they begin to recognize that they have a problem.

Helping People Change

Helping people change involves:

- increasing their awareness of their need to change
- helping them begin to move through the stages of change
 - Start "where the client is"
 - Positive approaches are more effective than confrontation

Page	66

Helping People Change	
Motivational Interviewing is the process of helping people move through the stages of change	
An Important MI Skill When Conducting Brief Interventions	

Forming Reflections Adapted from Exercise By Bill Miller **Forming Reflections Purpose** To help participants learn how to form effective reflective-listening statements **Forming Reflections** Instructions • Listener is making a guess at what the speaker means and offers it for a response. · Reflection has to be in the form of a statement rather than a question. (Voice turns down, not up at the end of the reflection) • Discuss why statements work better than questions as reflections.

Forming Reflections Divide into groups of three

- Participants in each triad take turns being the speaker. The other two people listen and offer reflections.
 - Some helpful stems to making reflections are:
 - So you feel
 - It sounds like you
 - You're wondering if
- The speaker responds to each statement with elaboration.

Forming Reflections Debriefing

- How did the speakers feel in this exercise?
- How easy was it to generate reflections?
- What problems did you have?

(Reminder: No MI interview will ever consist of only reflections. A good ratio to aim for is at least one reflection for every 3 questions.)

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Learning to Conduct the Brief Intervention

Link Screening/Assessment Results to the Appropriate Intervention Low Risk Moderate Risk Feedback and Brief Intervention (BI) Feedback, BI and Referral

How is the BI conducted?

- FEEDBACK: use screening/assessment forms
- ADVICE
- RESPONSIBILITY
- CONCERN (level of substance use/mental health sxs)
- GOOD THINGS ABOUT USING
- NOT-SO-GOOD THINGS ABOUT USING
- SUMMARIZE
- CONCERN (about not-so-good things)
- TAKE-HOME INFORMATION

Source: Humeniuk, 2005

Provide Feedback

 Use the screening/assessment forms to provide patient feedback

"I'd like to share with you the results of the questionnaire you just completed. Your answers to these questions about alcohol and drug use indicate that your risk of having problems related to your use are low/moderate/high."

(Show the client their forms to demonstrate the results)

Offer Advice

- "The best way to reduce your risk of alcohol related harm is to cut back on your use, that is reduce the behavior that is putting you at risk."
- Educate patient about sensible drinking limits based on NIAAA recommendations
 - no more than 14 drinks/week for men (2/day)
 - no more than 7 drinks/week for women and people 65+ yrs (1/day)

Source: McGree, 2005

Place Responsibility for Change on Patient

 "What you do with the information is up to you. I'm here to assist you if you'd like help cutting back on your use. I can help you explore strategies to change how much you are using or refer you for additional assistance if you have problems meeting your goals"

Elicit Patient Concern

 "What are your thoughts about your screening results, particularly the one for alcohol?"

(Take note of patient's "change talk")

Source: McGree, 2005

Coax Patient to Weigh the Benefits and Costs of At-Risk Use

- "What are some of the good things about using for you personally?"
- "What are some of the not-so-good things?"
- "What are some of your concerns about these not-so-good things?"

Source: McGree, 2005

Summarize

• Summarize by developing a discrepancy:

"OK, so on the one hand, you've mentioned
a lot of good things about getting drunk – you
have a great time at parties, you're not so
inhibited around your friends – everyone
thinks you're the life of the party. But on the
other hand, you've missed a lot of class time,
your grades are suffering, and school is very
important to you."

Source: McGree, 2005

Offer Self-Help Information/Brochures and Assistance in Cutting Back

"I can give you some information about cutting back on your drinking. If you'd like to make a plan for cutting back or stopping, I'm here to help you."

(If patient seems interested, discuss self-help strategies with him/her)

Source: McGree, 2005

Making Referrals

- Be prepared to make referrals for further assessment and treatment
 - Giving a phone number isn't enough
 - Become familiar with local community resources
 - Take proactive role in learning about the availability of appointments or treatment slots, costs, transportation, and get names of contacts at the agencies

Source: SAMHSA, 1994

Making Referrals

- Making contact with an assessment/ treatment agency to set up an appointment may constitute a "client-identifying disclosure"
 - Need to be aware of laws and regulations about communicating client information
 - Need written consent from clients
 - Need to be aware of laws regarding minors

Source: SAMHSA, 1994

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Encourage Follow-Up Visits At follow-up visit: • Inquire about use • Review goals and progress · Reinforce and motivate • Review tips for progress Source: "Cutting Back" 1998 Univ. of Connecticut Health Center **Activity: Role-Play with Brief Intervention** • Practice BI with a partner • One person be the clinician • The other person play the client • Group Discussion 20 minutes

Next Steps

- Implementation
 - Practice skills with your clients
 - Use COD Project resources for consultation and assistance
- More Training
 - Treating trauma in clients with COD
 - Schedule for June and July 2008
- Continued implementation of old and new skills with help from COD Project Resources