

Overview of Contingency Management in **Native Communities**

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CAPITAL

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SEPT 23, 2021 SEPT 28, 2021 SEPT 29, 2021 SEPT 30, 2021

Disclosures

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Poll

Today's Presenters



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WASHINGTON STATE UNIVERSITY, PRISM COLLABORATIVE

Language Matters

The use of affirming language inspires hope and advances recovery.



The ATTC Network uses affirming language to promote the promises of recovery by advancing evidence-based and culturally informed practices.



Educational Objectives

- 1. Recall two (2) ways operant conditioning is used in Contingency Management.
- 2. Identify at least two (2) research findings supporting the use of Contingency Management as an intervention for substance use disorders in American Indian and Alaska Native communities.
- 3. Apply at least two (2) strategies to adapt contingency in their community.
- 4. Explain two (2) requirements of a federally compliant Contingency Management program.

Indigenous Land Acknowledgement

- We respectfully acknowledge that we live and work in territories where Indigenous nations and Tribal groups are traditional stewards of the land.
- Please join us in supporting efforts to affirm Tribal sovereignty across what is now known as California, and in displaying respect and gratitude for Indigenous people.
- We honor and recognize the original peoples of this land throughout California. We understand our healing is interconnected as we work to support and uplift our collective communities

Introduction



NANCY PIERCE, RN
KAUFFMAN &
ASSOCIATES INC.

Opening Ceremony

Kevin Hale
Social Worker
United American
Indian Involvement

Arlene Brown
Bishop Pauite
Kauffman and
Associates

Perry Lincoln
Wailaki/Yuki
Co-founder of Native
Health in Native Hands



Overview of Contingency Management: **Native Communities**

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Our Training Team

- Katherine (Kait) Hirchak, PhD, MHPA:
 - Eastern Shoshone
 - Assistant Research Professor, Prevention Scientist
 - Expert in CM adaptation in Tribal communities
- Michael McDonell, PhD:
 - Professor and Director, Clinical Psychologist
 - 12+ years of CM expertise
- Sara Parent, ND:
 - Scientific Assistant, Naturopathic Doctor
 - Expert in CM & CM implementation
 - www.prismcollab.org

Agenda

- CM Overview
- Culturally Adapted CM
- Navigating regulatory considerations
- Conclusion

Contingency Management: Overview

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Operant Conditioning

Behavior → Consequence → Behavior Change

	Reinforcement (Increase / maintain behavior)	Punishment (Decrease behavior)
Positive (add stimulus)	Add pleasant stimulus to Increase / maintain behavior	Add aversive stimulus to Decrease behavior
Negative (remove stimulus)	Remove aversive stimulus to Increase / maintain behavior	Remove pleasant stimulus to Decrease behavior

Reinforcement vs Punishment

- Both can change behavior
- Most people prefer reinforcement
- Punishment does not teach a new behavior (only tells you what not to do)
- Most punishers lack the immediacy to be effective
- Punishment has unnecessary side effects
- Only positive reinforcement teaches new behaviors in a way that builds self esteem, and self-efficacy



Pharmaco-Behavioral Theory of Substance Use

Psychoactive drugs:

- Feel good (positive reinforcement)
- Remove negative feelings (negative reinforcement)
- Drug use result in loss of many other reinforcers (job, family, friends)

Conclusion: drugs are highly reinforcing and hijack the reward pathway in our brain



People Who Use Drugs Choose Small Rewards over Drugs



CM is Positive Reinforcement

CM offers a **non-drug reinforcer** in exchange for evidence of **drug abstinence**



Behavior >

Key Word: Attainable, Focused

Measure >

Key Words: Objective, Immediate

Schedule >

Key Words: Frequent, Feasible

Reward >

Key Words: Tangible, Immediate, Reinforcing

Stimulant Abstinence

Amphetamine, Methamphetamine, and Cocaine

Point of Care Urine Test

Use a 5-panel test cup that includes all 3 stimulants

Twice weekly for 12 weeks

Mon/Thurs -OR- Tues/Fri

Vouchers for Gift cards

- Electronic and/or physical gift cards
- Start with \$5, escalation bonus based on program budget

Escalation, Reset, and Recovery



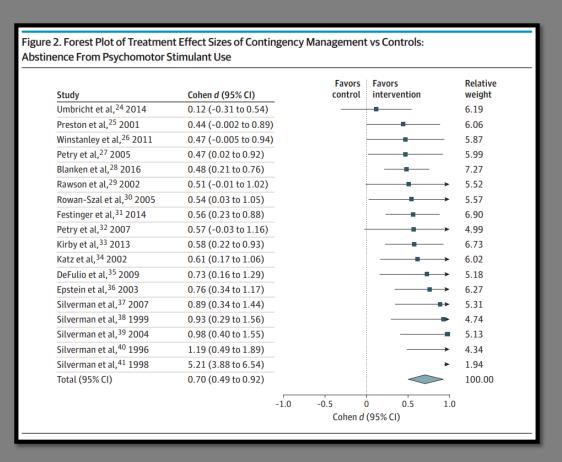
Escalation: The amount of reward increases the longer a person isabstinent (escalation bonus)

Reset: No reward or escalation bonus for a positive drug test

Recovery: Return to previously achieved escalation bonus after submitting two consecutive negative drug tests

Contingency Management for MOUD Patients

- Meta-analysis of <u>60</u>
 <u>studies</u> of CM for MOUD patients
- CM Targets:
 - Stimulant use (Large Effect Size Cohen d=0.7)



Bolívar HA, Klemperer EM, Coleman SRM, DeSarno M, Skelly JM, Higgins ST. Contingency Management for Patients Receiving Medication for Opioid Use Disorder: A Systematic Review and Metaanalysis [published online ahead of print, 2021 Aug 4]. JAMA Psychiatry. 2021;e211969. doi:10.1001/jamapsychiatry.2021.1969

Contingency Management for MOUD Patients

CM Targets:

- Illicit opioid use (Large Effect Size Cohen d=0.58)
- Cigarette smoking (Large Effect Size Cohen d=0.78)
- Medication adherence (Large Effect Size Cohen d=0.75)
- Therapy attendance (Medium Effect Size, Cohen d=.62)
- Other substance use (Medium Effect Size Cohen d=0.46)

CM as an Intervention for Al/AN Communities

```
encouragement humility dignity
trust respect
accomplishment
recognition rewarding
self-determined
honoring self-efficacy prayer
truth positive joy
connection harmony
balance
```

Builds trust, respect, and connection between clinicians, clients, and their families

Aligns with honoring and encouraging individual through gifting

Gift cards can be shared with family



CM among Rural Al/AN Communities

- CM might be a feasible, culturally acceptable and effective substance use disorder intervention in rural AI/AN communities.
- Conducted 2 studies:
 - The Rewarding Recovery Study (McDonell et al., 2020)
 - 1 Rural reservation in Northern Plains
 - Adults with alcohol use disorders who use drugs
 - CM focused on alcohol and other drugs (Cannabis/Methamphetamine)
 - Helping Our Native Ongoing Recovery (HONOR) Study (McDonell et al., 2021)
 - 3 Communities throughout the West
 - · Adults with alcohol use disorders
 - CM focused on alcohol

McDonell et al., 2020

The Rewarding Recovery Study: Goals

- Overall Goal
 - To see if CM leads to reductions in alcohol and drug use in American
 Indian adults living in a rural community
- Specific Goals
 - Adapt CM to maximize cultural acceptability for an AI community
 - Determine if people who receive CM use less durgs and alcohol than those who don't receive CM

The Rewarding Recovery Study: Research Methods

Eligibility

- 18 years or older
- American Indian
- Diagnosis of Alcohol Dependence (DSM-IV)
- Used an illegal drug or opioids in the last month

Study Design

- 12 weeks
- Urine tests and CM rewards 3 times a week
- Compare 3 different versions of CM to a control group where people received rewards for submitting urine tests (don't have to be abstinent)
- Outcomes: Attendance, alcohol use, drug use- assessed by UDTs

McDonell et al., 2020

The Rewarding Recovery Study: Treatment Groups

CM Alcohol Only

Incentives provided if participant demonstrated abstinence from alcohol.

CM Drugs Only

Incentives provided if participant demonstrated abstinence from drugs.

CM for Drugs & Alcohol

Incentives provided if participant demonstrated abstinence from drugs AND alcohol.

Non-CM Group

Incentives
provided for
attendance and
submitting a urine
sample. They
received reward
even if they used.

The Rewarding Recovery Study: Description of Participants

120 people

- •49.1% Male
- 50.9% Female
- Average Age 35.8 years
- 53.5% had high school degree or higher

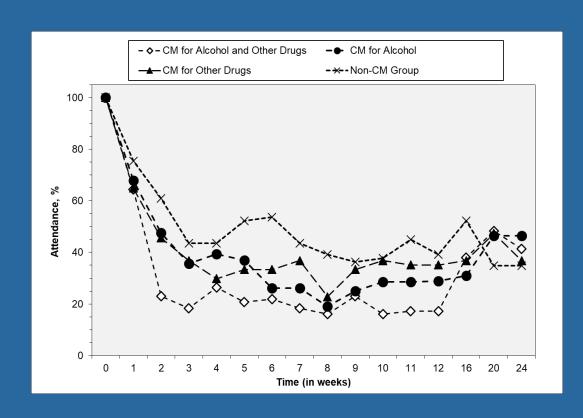
Participant Substance Use

- 43.0% tested positive for alcohol
- 50.9% most-used drug was methamphetamine
- 36.8% reported using cannabis

The Rewarding Recovery Study: Attendance

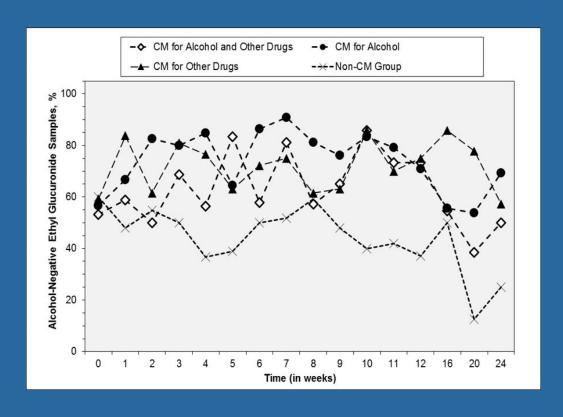
The Non-CM group had the best attendance.

The CM for Alcohol and Other Drugs had the lowest attendance.



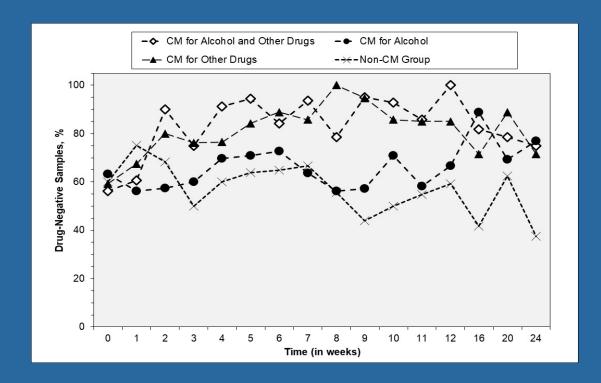
The Rewarding Recovery Study: Alcohol

All three CM groups were more likely to be alcohol-abstinent compared with the Non-CM Group.



The Rewarding Recovery Study: Drugs

Only the CM for Other Drugs and CM for Alcohol and Other Drugs had lower drug use than the Non-CM Group.



The Rewarding Recovery Study: Summary of Findings

- People liked CM, and it was also an opportunity to integrate language and culture into the lives of people seeking recovery.
- You get what you pay for
 - If you reward attendance, people attend; if you reward alcohol or drug abstinence, people are less likely to use.
- CM for drugs and alcohol worked, but attendance was poor.
- We don't know if people continued to do better after treatment stopped.
- BOTTOM LINE: CM for drugs only has the best outcomes.
- This group reduced stimulant and alcohol use and had an acceptable attendance level.
- CM for alcohol reduced alcohol use, but not drug use.

Conclusions

- CM is an effective intervention for alcohol and stimulant drug use in rural AI/AN communities.
- CM is a flexible intervention that can be culturally adapted to meet the unique needs of each community.
- CM could be better integrated within community level efforts to address substance use and underlying social determinates of health affecting Native communities .
- Problems unique to rural communities like transportation and lack of access to housing must be addressed.
- We can provide training in our culturally tailored CM intervention for AI/AN communities.

Helping our Native Ongoing Recovery (HONOR)

JAMA Psychiatry | Original Investigation

Effect of Incentives for Alcohol Abstinence in Partnership With 3 American Indian and Alaska Native Communities A Randomized Clinical Trial

Michael G. McDonell, PhD; Katherine A. Hirchak, PhD; Jalene Herron, MS; Abram J. Lyons, MSW; Karl C. Alcover, PhD; Jennifer Shaw, PhD; Gordon Kordas, MS; Lisa G. Dirks, MSIS, MLIS; Kelley Jansen, MS; Jaedon Avey, PhD; Kate Lillie, PhD; Dennis Donovan, PhD; Sterling M. McPherson, PhD; Denise Dillard, PhD; Richard Ries, MD; John Roll, PhD; Dedra Buchwald, MD; for the HONOR Study Team

https://pubmed.ncbi.nlm.nih.gov/33656561/

The Rewarding Recovery Study: The Red Road

https://www.youtube.com/watch?v=sx4nAkWG9lM&t=122s

Contingency Management: Cultural Adaptations

Kait Hirchak, PhD, MHPA

Assistant Research Professor Elson S. Floyd College of Medicine Washington State University Katherine.hirchak@wsu.edu

Culturally Tailoring CM

Reinforce

Record UDT Result and

Calculate the number of

draws/rewards (UDT Negative)

provide encouraging feedback

Be honest and matter of fact

them in achieving abstinence. Discuss the reset and recovery of voucher amounts so they are reminded of how it works and how quickly they can return to the escalation bonus

hard to earn.

they had already worked

Be nonjudgmental

abstinence.

If No reward

Use an individual electronic or paper calculator

to determine the amount of the voucher Reward and reinforce target behavior and

 Congratulate those who submit an abstinent sample Be enthusiastic (the client is working hard)

· Emphasize their self-efficacy. In CM, we don't teach

people skills. They figured out how to achieve abstinence by themselves. That is a pretty amazing thing to celebrate

Remind clients that their rewards will grow with sustained

o Be positive "It's great to see you today. You don't get a reward today but remember you can earn one in just

Ask if there is anything you can do to support

Aligning the CM Visit Flow with the Medicine Wheel

Record the outcome

Record results, level of reward, and reinforcer earned in the client's tracker

- · Remember to track every UDT result and voucher given to every participant to document that your program is consistent with Safe Harbor criteria.
- Also be sure to mark missed samples and excused absences on the Tracker so that future rewards can be calculated correctly and a consistent program duration is maintained

Thank the client for their time and remind them of their next appointment. Validate success and frustration, but always model a positive and hopeful attitude.

Greet

Establish a positive relationship. Keep the interaction pleasant and non-confrontational at all times. The positive nature of CM allows for an opportunity to strengthen the therapeutic alliance. Your positive attention is also reinforcing to most clients.

Measure

Conduct the UDT. Remember we don't observe urine testing. Also, if you are doing UDTs with multiple people at one time (e.g., before a group session) clearly mark each urine cup with an identifier (e.g., initials, client

Gratitude

Reward System Option 1: Prize-draw CM

- Prize CM = "fish bowl" CM
- Aka variable-magnitude reinforcement procedure
- Clients offered certain # of prize draws of tokens for each stimulant or alcohol abstinent UDT submitted
- # of prize draws increase, reset, and recover



Culturally Tailored Prize CM

Prize draws included AI cultural concepts/teachings



Practical and Cultural Adaptations

Practical Prizes
Grocery gift cards
Diapers
Fishing pole
Camping gear

Cultural Prizes
Artwork
Regalia
Spiritually significant items

- Beadwork
- Drum making

Other Prizes

Toiletries mp3 players Clothing, Tablets

Reward System Option 2: Voucher CM

- Clients are rewarded vouchers each time they submit a stimulant-negative UDT
- Clients cash in Vouchers for Gift Cards
- We recommend starting with \$5 and escalating \$1.25 every 2 consecutive successes (i.e. 1 week of abstinence)
- Vouchers equate to the monetary value of your choice
- Establish base and bonus voucher values so clients earn more as they go



Culturally Tailored Voucher CM

	Physical Gift Cards	Electronic Gift Cards	Culturally Meaningful Rewards
Tangible	+	~	+
Desirable (Customizable)	+	++	
Immediate	2	+	+
Program Budget	?	+	+/-

Cultural Adaptions

Adapting setting and addressing barriers to care

CM programs have been successfully implemented in a variety of Tribally operated settings. We have found that CM is most successful when connected to outpatient treatment or integrated specialty care settings that include behavioral health services. Other Tribal communities have suggested implementing CM in non-treatment settings (for example, with cultural programs).

We are always looking to reduce barriers for clients. Some Tribal organizations have provided transportation to CM appointments for clients. This has been in the form of bus passes and shuttle rides provided by the program. What are some ideas for your CM program?

Office Based vs. Outpatient Addiction Tx Settings

- Office based (primary care based)
 - Twice per week visits are very uncommon
 - Challenges of working CM into primary care work-flow
 - Can provide structure and support that many clients need
 - May increase engagement in care
 - Creates a positive tone for treatment, especially for most challenging clients
- Addiction Treatment Setting (intensive outpatient)
 - Clients attend multiple appointments each week
 - Can be added on to group or individual appointments
 - Can improve engagement in treatment and adherence to treatment
 - Creates a positive tone for treatment (reason to get excited about treatment)



Cultural Adaptations: Culturally Appropriate Staff

One of the many strengths of CM is that no matter which model is used, the intervention can be delivered by any positive and encouraging staff or community member. For example, in some communities, the CM program has been delivered by a respected Elder. Recovery coaches and peer support staff might be other great options.

Staffing

- <u>CM Mentor</u>: This may be someone outside your agency. May use scheduled coaching calls, or on-demand consultation.
- <u>Program lead(s)</u>: Someone from your agency who has developed or been trained on your CM protocol. This person may also be responsible for monitoring ongoing fidelity of the delivery of your CM program, as well as program evaluation and adjustments as needed.
- <u>CM Delivery Staff</u>: These will be the practitioners conducting the CM visits, including the collection and evaluation of urine tests, and administration of the rewards, and keeping clients engaged. Best to identify specific CM providers rather than training whole agency.
- <u>CM Support Staff</u>: Support staff may include those who identify and recruit clients into the CM program, payout vouchers, or schedule and remind clients of the next visit.

<u>Special Consideration</u>: Will staff across the system deliver CM or will you keep the CM program separate?

Cultural Adaptations: Native Language





Cultural Adaptations: Going back to honoring the individual

If it is a part of your cultural teachings, it was recommended by one reservation-based CM program to develop an honor song or to sing Tribal honor songs to clients as they progress through the CM program. This was believed to touch the spirit of the client in a good way and acknowledge their achievements.

Certificate/Recognition of Participation at the End of the Program

It is recommended by Tribal communities that clinicians provide a certificate of participation or have a recognition ceremony or feast for participation at the end of the CM program. This certificate or ceremony will assist with honoring and acknowledging the journey of the client.

Recruitment

Identify your partners

- Just clients engaged in your other programs?
- Other Inclusion/Exclusion Criteria?

How will you get the word out?

- Referrals from providers
- Flyers in the lobby

Special Consideration: Can't advertise rewards!



Recruitment Strategies

- Turning punitive testing into positive testing (CM)
- Build positive connections
 - Talk to Tribal leaders, Elders or others that might be skeptical
- Identify community champions
- Wellness/employment programs
- Housing programs

\$ Budgeting \$

- Urine testing materials (e.g. cups/dipcards, validity test trips, gloves)
- Prizes (budget at 50% total possible earnings)
- Staff time (including CM mentor/consultant, CM project lead, delivery staff, front desk staff time?)
- Space (do you have a room to spare, will you have to rent a space or use a room that used to generate revenue?)

CM Regulatory Considerations

Challenges to using CM

- Staff resistance to the idea of incentives
 - Easily overcome
- Challenges of tracking escalation bonus, reset, and recovery
 - We will are providing you with the tools to do this
- Where does the funding for incentives come from?
 - Sustainability- can other Tribal funds be used, 638 or other?
- Office of the Inspector General prohibits the use of incentives to pay clients for billable Medicaid/Medicare encounters. Anti-kick back regulations.
 - Use of any kind of incentive (no matter the source of funding) must comply with an OIG defined Safe Harbor
 - Not relevant for other payers or IHS funds

CM and Medicaid Requirements: Our Advice

- Do not advertise use of rewards
- Document need for CM in treatment plan
- Use a research-based CM program
- Carefully document that rewards are linked to client outcomes
 - Must closely document each UDT result and the corresponding reward that was given for that UDT negative test
- Rewards cannot exceed > \$500 annually
- Regularly evaluate the impact of CM on client outcomes
 - Do quality improvement to document CM effectiveness
- Avoid tying CM with another Medicaid/Medicare billable encounter

A&D

Concluding Thoughts

Resources for Continued Learning

PRISM COLLABORATIVE (https://www.prismcollab.org/)

Additional CM videos (https://www.prismcollab.org/videos)

Northwest ATTC Contingency Management for Healthcare Settings Suite of Self-Paced Online Courses (https://healtheknowledge.org/course/index.php?categoryid=123)

Courses tailored to Decision Makers, Supervisors, and Direct Care Staff

National American Indian/Alaska Native ATTC **Contingency Management Incentive Ideas for Native Patients** (https://attcnetwork.org/sites/default/files/2021-03/SFS.ContingencyMangFINAL.pdf)

ATTC of New England **Contingency Management Principles** (https://www.dropbox.com/s/y9dhodoqi87w21n/Contingency%20Management%20Principles 6.12.20.mp4?dl=0)

ATTC Network Coordinating Office **Motivational Incentives: A Proven approach to Treatment** (https://collaborativeforhealth.org/bettertxoutcomes/)

OASIS-TTA



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